

West Oaks Building 2161 NW Military Hwy., Suite 207 San Antonio, TX 78213 Tel. (210) 447-6363 Fax (210) 447-6364 www.thealgosgroup.com Karri Lusk, Psy.D. Sean Connolly, Ph.D. Sandy Zamora, LPC-S

Behavioral Health Evaluation for Spinal Surgery Candidates

You have been referred by your pain management physician for a Behavioral Health Assessment (BHA) as part of evaluating your candidacy for a dorsal column stimulator, spinal cord stimulator, intrathecal pump, or spinal surgery.

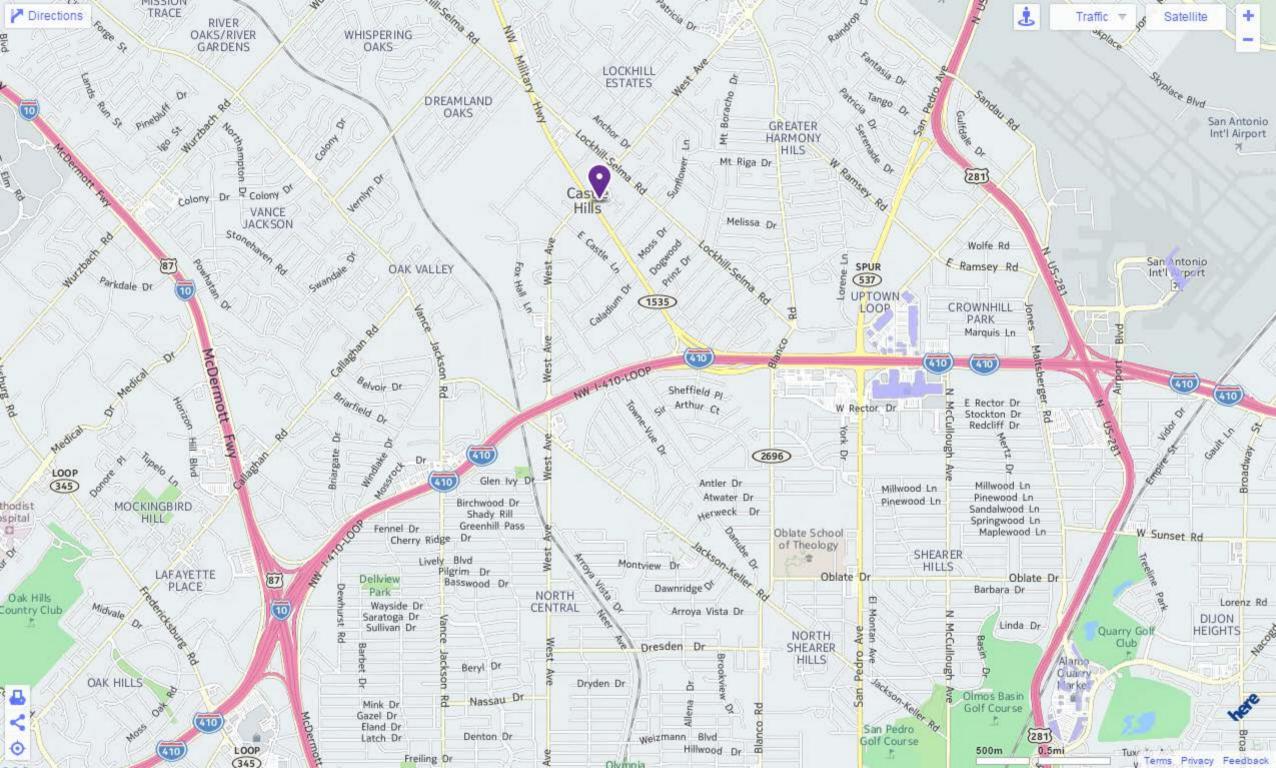
This is a routine step for individuals having these procedures. Such treatment options are invasive medical procedures and frequently require a Behavioral Health Assessment. This step will assist your physician in selecting the preferred treatment procedure, maximizing recovery, and predicting potential surgical outcomes. Insurance companies require such a BHA to assist the physician in determining that this is an appropriate procedure for your pain treatment. The purpose of this assessment is to identify and evaluate possible risk factors that may predict a successful or unsuccessful outcome to this procedure. Since your physician is considering a major procedure for the treatment of your chronic pain, every effort is made to make the best clinical judgment for its effectiveness. Research has shown that there are some medical, psychosocial and emotional risk factors that may predict an unsuccessful outcome. This BHA will help to determine the presence of any of these risk factors so appropriate treatments can be identified and implemented before and after you undergo the proposed surgery. Thus, it will help your physician make a more informed clinical decision about this step in the treatment of your chronic pain.

The BHA will consist of a clinical interview including a review of medical history and past life experiences, completion of some informational checklists and psychological testing instruments. You will have the opportunity to discuss with the psychologist/clinical associate any concerns you may have, and medical questions will be conveyed to the physician and his/her medical team. This BHA may take 3-4 hours to complete. Some of the paperwork can be completed at home prior to the appointment in order to facilitate the process. The extent of the assessment and what instruments will be administered depends on what the insurance company has requested and/or approved. The psychologist/clinical associate will utilize standard professional guidelines to assist with the clinical judgment and determination of your candidacy for this surgery. Every effort will be made to complete the assessment as efficiently as possible. Feel free to ask the clinician any questions you have about these psychological procedures.

ALGOS BEHAVIORAL HEALTH SERVICES, INC. NEW PATIENT INFORMATION RECORD

Referred By:

Today's Date:	R	eferred	By:			
Patient Full Legal Name:						
Date of Birth: / /	Age:	Male	e / Female	(circle one	please)	
SSN: / /	Marital S	Status:	Single / Ma	rried / Div	orced / Se	parated / Widowed
Address (physical):			Drivers Lic	ense#:		
City:	State:		Zip Code(9	digits):		
Home Phone: ()	Cell Phone: ()	1	Work: ()	EXT#
Can confidential messages (i.e.,	appointment remind	ers) be	left on your	r answerin	g machin	e or voicemail?
□YES □NO	If yes, which is best:	cell /	home / wo	ork		
Email Address:						
Employer's Name:		Occ	upation:			
Address:	City:			State:		Zip:
	PRIMARY	V TNICT	IDANCE			
Please be sure to v	vrite down policy holde			ou are not t	he policy h	older
Name Of Primary Insurance:	•		# (Located on t			
Subscriber ID#:		Group		<u> </u>		
Name of Policy Holder:		DOB:				
Insured's Address:			sa	me as pat	ient	
City:	State:		Zip Code(9 digits):		
Policy Holder's Employer:			_			
Patient Relationship to Policy Ho	older: SELF / SPOU	JSE /	CHILD / PA	ARTNER		
	SECONDA					
Please be sure to v	vrite down policy holde	er's info	rmation if yo	ou are not t	he policy h	older
Name Of Secondary Insurance:		Phone	e # (Located on t	he back of your	card):	
Subscriber ID#:		Group	#:			
Name of Policy Holder:		DOB:				
Insured's Address:			Sa	me as pat	ient	
City:	State:		Zip Code(9 digits):		
Policy Holder's Employer:						
Patient Relationship To Insured:	SELF / SPOUSE	/ CHI	LD / PART	NER		
	EMERGENCY	CONT	ACT PERS	ON		
Name:	Relationship	: Spous	se / Brother /	Sister / M	other / Fat	her / Other:
Home Phone: ()	Work Phone	::()		EX	T:	



ALGOS BEHAVIORAL HEALTH SERVICES, INC. **Financial Policy**

Thank you for choosing Algos Behavioral Health Services, Inc. as your mental health care provider. The following is a summary of our financial policies. Please read the information carefully and sign or initial where noted below. We must obtain a copy of your driver's sult

license and a current, valid insurance card. Failure to provide a current, valid insurance card and/ or information in payment due in full at the time of visit.	prior to your visit will result
Initial below please:	
1. PAYMENTS. All applicable fees, deductibles, coinsurance, or co-pays must be paid at the time We accept cash or credit card (Visa, MasterCard, or Discover). Unpaid accounts may be referred to a agency.	
2. CANCELLATIONS. To reserve the hour of the clinician's time, we request a credit card to assure yo doctor. There is a fee of \$50.00 for a missed appointment or late cancellation. You can avoid this character more hours prior to the appointment. Your insurance carrier will not cover this fee. ** When applicable, for individuals scheduled for a testing appointment – you will be charged \$50.00 is missed or not cancelled within 24 hours. For example, if you are scheduled for 3 hours of testing, and for that appointment time, you will be charged \$150.	rge if you cancel 24 or for every hour of testing that I you cancel or do not show
3. CHANGE OF INFORMATION . You are responsible to provide us with any change regarding your insurance information as soon as possible.	address, phone number or
4. PRIVATE INSURANCE AUTHORIZATION AND INFORMATION RELEASE. Initial I, the undersigned, authorize payment of mental health benefits to Algos Behavioral Health Services, I rendered. I understand that I am financially responsible for any amount not covered by my insurance limited to co-payments, co-insurance and deductibles. Any payments denied by the insurance company wundersigned, and the undersigned will be held responsible for payment. I also authorize Algos Behavioral Health my insurance company any information concerning health care, diagnosis or treatment provided to me. This info for the purpose of evaluating and administering claims of benefits.	rance policy including but vill be forwarded to the a Services, Inc to release to
5. POTENTIAL NON COVERAGE Initial Although other medical services may be covered by your insurance, this psychological service may no case, you will be fully responsible for the entire amount. If the clinician is <i>out-of-network</i> with your insurance popay the full amount of the service rendered. We will NOT file claims for out-of-network services.	
6. NON-COMPLIANCE. We reserve the right to discontinue care with you for non-compliance with a policies.	•
7. The undersigned agrees that in the event of default in payment or if the account is placed with a collection, he/she is responsible for reasonable attorney's fees, miscellaneous costs of collection, collection, at the interest rate of 18% per annum.	ection agency for ection agency fees, and
*For all services rendered to minor patients, the adult accompanying the patient, or the policy holder, is resp	oonsible for payment.
I have read and understand the financial policy of the practice and I agree to be bound by its terms. I also un such terms may be amended from time to time by the practice.	nderstand and agree that
X Date:	
X Date: Signature of Patient OR Responsible Party if a Minor	
Printed Patient Name	

I WILL NOT BE USING INSURANCE FOR THIS SERVICE AND WILL PROVIDE ANOTHER FORM OF PAYMENT.

SIGNED:	DATE:	

Behavioral Health Assessment

Name:	Age:
Today's Date:	
	plant □ Intrathecal Pump □Spinal Surgery od concerns □ Pain Management
Referring physician:	
History of Pain	
	and how were you injured?
Medical Treatment for Pain	
Surgery related to pain? When Outcome?	& what type?
Chiropractor; Acupuncture;	injections; Physical therapy; Massage therapy; Water therapy;; Medications; TENS unit;
Current pain medications: fair	moderate good very good (100%)
Psychological Pain Management Stra	tegies
Clinical Hypnosis Pain Manage Yoga Other	Biofeedback Therapy ment Psychotherapy Pain Groups
Other Medical Problems	
Migraines CRPS/RSD Fib Hypothyroidism COPD	sterol Diabetes Heart problems romyalgia Arthritis Stroke Sleep apnea Memory problems
Have you ever had a head injury or cond	cussion? Details

Pain Location/Intensity

Where is most of your pain localized? Lower back upper back/neck mid-back				
right leg left leg both legs and feet left arm right arm				
right shoulder left shoulder head tailbone headaches hips				
On a scale of 0 to 10, (0 being no pain, 10 being the worst pain imaginable) what level is your pain: Most of the time? On a good day? On the worst day? Today? The pain is most intense – When you get up in the morning? As the day progresses? Afternoon/Evening? No change during the day?	•			
Factors Influencing Pain				
What aggravates your pain? movement activity standing sitting				
walking lying down weather changes stress conflict				
Other				
What <i>alleviates</i> your pain? inactivity resting lying down walking				
massage medication stretching exercise relaxation sleeping				
changing posture/position ice heat other				
Level of Activity				
Currently employed?OR When were you last employed?	—			
Daily activity?				
Are you awake most of the day? Time spent in bed during the day?				
Do you do household chores? cook meals dishwashing laundry fold clothes				
yard work dust vacuum dust sweep repairs care for children	_			
Current level of functioning as compared to before injury/onset of pain? 60% 50% 40% 30% 20% 10% 5%				
How do you spend most of your time?				
TV Reading Playing games/puzzles Computer School Volunteer				
Hobbies Distractions Other:				
Sleep Patterns: Limited sleep No sleep problems				
Excessive sleep Sleep during the day				
No sleep Interrupted sleep				
Use of sleep meds.				
Comments:				
How does sleep deprivation affect you?				
Personal and Social History				
With whom are you living? How long have you been married?				
With whom are you living? How long have you been married? Do you have children? How many & ages?				
Any other info. on family life?				
Any outer into. On failing life:				

Birthplace: W	Where have you lived most of your life?
Family of origin - How many total childs	ren in your family growing up?
	How was family life?
Education and Work History	
	City/State?
How did you do in school?	
College Experience?	
Vocational Training?	
•	
Have you been able to return to work?	
Work/Career concerns?	
Psychological Functioning	
How are you doing emotionally?	
Depression; Anxiety or stress	; Panic Attacks; Excessive anger
Current symptoms?	
loss of sleep	low self-esteem/self-confidence
excessive sleep	irritability/getting upset with people easily
insomnia	loss of enjoyment in life (anhedonia)
loss of appetite	fatigue/always tired
excessive appetite	loss of sexual interest/desire (decreased libido)
crying episodes	concentration problems
social withdrawal/wanting to be alone	<u>=</u>
loss of energy/motivation to do things	
loss of interest in enjoyable activities	
mood swings/rapid mood changes	excessive feelings of guilt
agitation/restlessness	weight loss/gain
negative/pessimistic thoughts	indecisiveness/unable to make decisions
sense of worthlessness	inability/difficulty in thinking clearly
sense of hopelessness	thoughts of dying
other mood problems	• •
	ntly
	nently
any suicide ettempts in your lifetime?	If so, how many?
What happened?	
What happened? EP visit	stomach pumpedcharcoalsutures
Any serious consideration of suicide at th	t? Details
Any serious consideration of sincide at th	

*Anxiety/panic attacks?	How often?	
Symptoms of panic attacks:		
racing heart	sweating	trembling/shaking
shortness of breath	sense of choking	fear of dying
sense of terror	chest pain/discomfort	agitation
nausea	dizziness/lightheadedness	chills
fear of losing control	hot flashes	avoid people
How do you manage these episod	es/attacks?	
_	e you had?	
Treatment	t?	
Details		
Medications taken in the past for	depression/anxiety	
Current medications for emotion	al difficulties	
Are the medications helping?	Are medications neede	d?
Have you ever been hospitalized to	for emotional issues?	
Where?	When?	
	Outcome	
Follow-up treatment?		
Have you ever seen a therapist/co	ounselor/psychologist?	
For how long? Are	ounselor/psychologist? W you seeing anyone now? W	ho?
Psychosocial Stressors		
What contributes to your depressi	on and stress?	
chronic/constant pain	physical restrictions	slow/no medical progress
change in the quality of life	inactivity	loss of health
loss of employment	loss of independence	loss of career
financial problems	<u> </u>	dependence on others
hassles with insurance co.		employer termination
no income		bankruptcy
dependence on spouse	uncertainty about future	_
limited mobility		restricted social life
disappointment with surgical of	*	medication for relief
marital problems		disabling condition
social isolation	people's reactions to pain	
trauma of injury	•	death of a loved one
unable to play with kids	change of roles in family	
Others		

Addiction/Dependence Issues

Current use of tobacco products?	How many, how often?
For how long? History of use?	
Current use of alcohol?	
Any history of abuse of alcohol?	Have you ever been an alcoholic?
TreatmentOutcome	
Current use of street drugs? W	hich drugs?
	ne
History of overuse or abuse of (pain) medical	cations?
Details	
	?
Details	
Current status	
Any current involvement in lawsuit/legal d	lispute?

Karri Lusk, Psy.D., Seán G. Connolly, Ph.D., Sandy Zamora, LPC-S

CONSENT TO TREATMENT AND CLINICAL ASSESSMENT SERVICES

This is to certify that I give permission to Karri Lusk, Psy.D., Seán G. Connolly, Ph.D., or Sandy Zamora, LPC-S at Algos Behavioral Health Services, Inc. to provide psychological and behavioral health services for myself and/or child(ren), or for an adult child for whom I am the legal guardian.

I have reviewed the **Statement of Office Policies for Clinical Practice** and the specific assessment and/or treatment procedures have been explained to me.

Service:	Psychological Evaluation	Couple Psychotherapy
	Psychoeducational Evaluation	Group Psychotherapy
	Neuropsychological Evaluation	Family Psychotherapy
	Behavioral Health Assessment	Individual Psychotherapy
	Biofeedback Therapy	Group Behavior and Health Intervention
	Other:	<u>-</u>

The specific treatment has been described in the written **Statement of Office Policies for Clinical Practice** and/or explained by the clinician. If applicable, alternatives to treatment have been explained to me. Due to the complex nature of psychotherapy and other behavioral health services, including discussing past and present emotional pain and the stresses in life, it is quite common for persons entering this type of treatment to have periods of time when they experience their feelings as more intense, and perceive their condition as possibly worse. This should be discussed as part of the treatment, and is usually temporary. Please note that it is impossible to guarantee any specific results regarding psychological treatment. However, every effort will be made to achieve the best possible results for you. Maximum benefits occur with regular attendance and your active emotional investment in the process.

The issues of confidentiality have been reviewed, and I have been assured that all communications between a professional and a client are held in strict confidence. However, I also understand that there are exceptions to those laws and regulations. I understand it is the clinician's legal responsibility to disclose certain issues for my protection and that of others, and these situations have been clarified to me. Every reasonable effort will be made to resolve issues through discussion and planning within the clinical setting, and I will be notified of any anticipated compromise of the client-therapist relationship.

I have the right to terminate the therapeutic relationship at any time that I desire, without fault, and I have the right to seek and obtain a second opinion on the procedures and/or treatment process.

I understand that I am fully financially responsible for my treatment provided by the clinician, and for any balance that is not covered by my insurance company. A billing service may be used for the

collection of insurance reimbursement and co-pay fees. Psychologists have the right to seek the services of a collection agency to obtain payment of past due balances.

My signature certifies that I have read and understand all the information provided by Algos Behavioral Health Services Inc., about the clinicians, the treatment to be provided, and the expense to be incurred. I hereby voluntarily give my informed consent for treatment. A copy of this authorization shall be considered valid. I understand that I will be informed of any changes concerning what is in this document and my consent will be obtained regarding these changes.

You are assured that the services will be provided in a professional manner, consistent with the rules and regulations of the Texas State Board of Examiners of Psychologists and the ethical principles of the American Psychological Association. Every effort will be made to provide services in a caring, supportive and trustworthy environment.

In addition to the specified procedure checked above, the initial goals of treatment will be established after the first or second session.

My signature certifies that I have been provided the opportunity to read/review the following relevant documents:

- Notice of Privacy Practices
- Statement of Office Policies for Clinical Practice: Psychotherapy, Psychological or Neuropsychological Testing and Behavioral Health Assessments

My signature certifies that I voluntarily give my informed consent to the clinical services offered by this psychological practice.

X	
Signature of Client/Patient	Date
Signature of Responsible Party (if client is a min	nor) Date

Karri Lusk, Psy.D., Seán G. Connolly, Ph.D., Sandy Zamora, LPC-S

Health Insurance Portability and Accountability Act (HIPAA) Consent

General Notice

When seeking psychological consultation and/or treatment in this practice, **Protected Health Information (PHI)** will be gathered about you. This information may include a clinical history, medical history, personal information, and possibly performance/responses on psychological testing instruments.

Notice to Protect the Privacy of Your Health Information

A complete description of how your **PHI** may be used and disclosed is available for your review in the "**HIPAA Notice of Privacy Practices.**" A copy of this document is available at the reception desk. You may request a copy for your records.

Individual Rights

You have the right to restrict the uses and disclosures of your Protected Health Information (PHI) for the purpose of treatment and health care. A signed consent form is usually required. This practice is not required to agree to requested restrictions, but is bound by any restrictions to which it agrees.

You have the right to revoke this consent in writing, except to the extent that our practice has taken action on the reliance on this consent. You have the right to refuse to sign this consent. This practice has the right to refuse to provide the clinical services requested, if you refuse to sign the consent or if you revoke this consent at any time.

Your signature below acknowledges:

- · You have read and understand this consent;
- · You have agreed to have your Protected Health Information (PHI) used by this practice for the purposes of your consultation and treatment, to secure payment for your treatment, and for this practice's psychological care operations;
- · Prior to signing this consent, you were given the opportunity to review the practice's "HIPAA Notice of Privacy Practices;"
- You are aware that you may now, or at any time in the future, request restrictions to the use and disclosure of your **Protected Health Information (PHI)**;
- This consent may be terminated at any time, with written request, except to the extent that this practice has taken action in reliance of the consent.

X	
Signature of Client/Patient or Representative	 Date

Authorization Form to Release Protected Health Information (PHI)

This form when completed and signed by you authorizes this psychological clinic to release Protected Health Information (PHI) from your clinical record to the person(s) you designate.

I hereby authorize my psychologist and/or clinical associate, Karri Lusk, Psy.D., Seán G. Connolly,

Ph.D., or Sandy Zamora, LPC-S and their practice management company, Algos Behavioral Health Services, Inc. and/or their administrative and clinical staff to release <i>the following specific clinical record and/or administrative/payment information</i> : (please list which information you want released) results of the psychological evaluation			
**This information should be released	to only the person(s) below:		
I am requesting the release of this informat the request of the individual legal purposes		for public agency use	
This authorization shall remain in effector until	et until	(date),	
(specific purpose).			
You have the right to revoke this authoroutification to our office address. How have taken action in reliance on the autof obtaining insurance coverage and the	ever, your revocation will not be chorization, nor if this authoriza	e effective to the extent that we tion was obtained as a condition	
I understand that my psychologist or cl services upon my signing an authorizat purpose of creating health information	ion unless the psychological se		
I understand that information used or d disclosure by the recipient of your info			
X			
Signature of Patient	Date		

If the authorization is signed by a personal representative of the patient, a description of such representative's authority to act for the patient must be provided.



West Oaks Building
2161 NW Military Hwy., Suite 207
San Antonio, TX 78213
Tel. (210) 447-6363 Fax (210) 447-6364
www.thealgosgroup.com

Karri (Zumwalt) Lusk, Psy.D. Sean Connolly, Ph.D. Sandy Zamora, LPC-S

PATIENT NAME:	
---------------	--

MEDICATION LIST

* Please list all prescribed meds, over-the-counter meds, herbals, supplements, vitamins

Medication Name	Dosage	Frequency	Route of Administration (oral, topical, injection)

NAME:	DATE:

GAD-7

Over the <u>last 2 weeks</u> , how often have you been bothered by the following problems?	Not at all	Several days	More than half the days	Nearly every day
1. Feeling nervous, anxious or on edge	0	1	2	3
2. Not being able to stop or control worrying	0	1	2	3
3. Worrying too much about different things	0	1	2	3
4. Trouble relaxing	0	1	2	3
5. Being so restless that it is hard to sit still	0	1	2	3
6. Becoming easily annoyed or irritable	0	1	2	3
7. Feeling afraid as if something awful might happen	0	1	2	3

Total	 _	Add	 _	 _	
Score	_	Columns	Т	т	

If you checked off <u>any</u> problems, how <u>difficult</u> have these problems made it for you to do your work, take care of things at home, or get along with other people?

Not difficult	Somewhat	Very	Extremely
at all	difficult	difficult	difficult

NAME:	
DATE:	
DOB:	

PATIENT HEALTH QUESTIONNAIRE-9 (PHQ-9)

Over the <u>last 2 weeks</u> , how often have you been bothered by any of the following problems? (Use " " to indicate your answer)			Several days	More than half the days	Nearly every day
1. Little interest or pleasure in doing things			1	2	3
2. Feeling down, depressed	d, or hopeless	0	1	2	3
3. Trouble falling or staying	asleep, or sleeping too much	0	1	2	3
4. Feeling tired or having lif	itle energy	0	1	2	3
5. Poor appetite or overeat	ing	0	1	2	3
Feeling bad about yours have let yourself or your	elf — or that you are a failure or family down	0	1	2	3
7. Trouble concentrating or newspaper or watching	n things, such as reading the television	0	1	2	3
8. Moving or speaking so s noticed? Or the opposit that you have been mov	0	1	2	3	
Thoughts that you would yourself in some way	be better off dead or of hurting	0	1	2	3
	For office co	DING <u>0</u> +	+	· +	
			=	Total Score	:
If you checked off <u>any</u> problems, how <u>difficult</u> have these problems made it for you to do your work, take care of things at home, or get along with other people?					
Not difficult Somewhat Very at all difficult difficult			Extreme difficul		